

## **ETHICS, CODE OF**

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**[HISTORY: Adopted by the Town Board of the Town of Ulster 11-12-1970 as L.L. No. 3- 1970. Section 22-5K added at time of adoption of Code; see Ch. 1, General Provisions, Art. I. Other amendments noted where applicable.]**

**Defense and indemnification - See Ch. 17. Of the Town of Ulster Town Code  
Personnel policies - See Ch. 37. Of the Town of Ulster Town Code**

**§ 22-1. Legislative intent.**

ARTICLE I  
**General Provisions**

The Town Board of the Town of Ulster recognizes that there are state statutory provisions mandating towns to establish rules and standards of ethical conduct for the public officers and employees which, if observed, can enhance public confidence in local government. In the light of a tendency today, on the part of some people, to downgrade our local governments and to discredit our public servants and our free institutions generally, it appears necessary that every effort be made to assure the highest caliber of public administration of this town as part of our state's important system of local government. It is the purpose of this chapter to implement this objective through the establishment of standards of conduct, to provide for punishment of violation of such standards and to create a Board of Ethics to render advisory opinions to the town's officers and employees as provided for herein.

**§ 22-2. Construal of provisions.**

The standards, prohibited acts and procedures established herein are in addition to any prohibited acts, conflicts of interest, provisions or procedures prescribed by statutes of the state of New York and also in addition to common law rules and judicial decisions relating to the conduct of town officers to the extent that the same are more severe in their application than this chapter.

## ARTICLE II

### **§ 22-3. Definitions**

#### **Code of Ethics**

As used in this chapter, the following terms shall have the meanings indicated:

TOWN- Any board, commission, district, council or other agency, department or unit of the government of the Town of Ulster.

TOWN EMPLOYEE - Any officer or employee of the Town of Ulster, whether paid or unpaid, whether serving in a fulltime, part-time or advisory capacity.

### **§ 22-4. Conflicts of interest.**

No town employee shall have any interest, financial or otherwise, direct or indirect, or engage in any business or transaction or professional activity or incur any obligation of any nature, which is in substantial conflict with the proper discharge of his duties in the public interest.

### **§ 22-5. Standards of conduct.**

- A. No town employee shall accept other employment which will impair his independence of judgment in the exercise of his official duties.
- B. No town employee shall accept employment or engage in any business or professional activity which will require him to disclose confidential information which he has gained by reason of his official position or authority.
- C. No town employee shall use or attempt to use his official position to secure unwarranted privileges or exemptions for himself or others.
- D. No town employee shall engage in any transaction as representative or agent of the town with any business entity in which he has a direct or indirect financial interest that might reasonably tend to conflict with the proper discharge of his official duties.

- E. A town employee shall not by his conduct give reasonable basis for the impression that at any person can improperly influence him or unduly enjoy his favor in the performance of his official duties or that he is affected by the kinship, rank, position or influence of any party or person.
- F. Each town employee shall abstain from making personal investments in enterprises which he has reason to believe may be directly involved in decisions to be made by him or which will otherwise create substantial conflict between his duty in the public interest and his private interest.
- G. Each town employee shall endeavor to pursue a course of conduct which will not raise suspicion among the public that he is likely to be engaged in acts that are in violation of his trust.
- H. No town employee employed on a full-time basis nor any firm or association of which such employee is a member, nor corporation a substantial portion of the stock of which is owned or controlled directly or indirectly by such employee, shall sell goods or services to any person, firm, corporation or association which is licensed or whose rates are fixed by the town in which such employee serves or is employed.
- I. Each town employee shall, to the extent that he is cognizant thereof, disclose any interest he may have in legislation before the Town Board.
- J. No town employee, within two (2) years after the termination his service or employment with the town, shall accept employment which will involve contracts with the town which can work to his advantage by virtue of his prior contact and relationship with the town.
- K. No municipal officer or employee shall solicit, accept or receive any gift over twenty-five dollars (\$25.) under circumstances in which it could be reasonably inferred that the gift was intended to influence him, or could reasonably be expected to influence him, in the performance of his duties or was intended as a reward for any official action on his part. **(1)**

**§ 22-6. Penalties for offenses.**

In addition to any penalty contained in any provision of law, any such town employee who shall knowingly and intentionally violate any provisions of this chapter, may be fined, suspended or removed from office or employment in the manner provided

ARTICLE III  
**Board of Ethics**

**§ 22-7. Board established; membership.**

There is hereby established a Board of Ethics consisting of three (3) members, to be appointed by the Town Board, all of whom reside in the Town of Ulster, and who shall serve without compensation and at the pleasure of the Town Board of the Town of Ulster. A majority of such members shall be persons other than town employees but shall include at least one (1) member who is an elected or appointed town employee of the Town of Ulster.

**§ 22-8. Rendering of advisory opinion.**

The Board of Ethics established hereunder shall render advisory opinions to town employees on written request and upon request of the Town Board, make recommendations to such Town Board, as to any amendments of this chapter. The opinions of the Board of Ethics shall all be advisory and confidential and in no event shall the identity of the town employee be disclosed, except to authorized persons and agencies. Such opinions shall be on the advice of counsel employed by the Board of Ethics, or if none, of the Town Attorney.

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**(1)Editor's Note: Added at time of adoption of Code; see Ch. 1, General Provisions, Art. I.**

## **§ 22-9. Promulgation of additional rules and regulations.**

Such Board of Ethics, upon its information, shall promulgate its own rules and regulations as to its form and procedures and shall maintain appropriate records of its opinions and proceedings.

### ARTICLE IV **Administration**

## **§ 22-10. Distribution and posting of provisions.**

Upon the adoption of this chapter, the Town Supervisor shall cause a copy thereof to be distributed to every town employee of this town. Failure to distribute any such copy or failure of any town employee to receive such copy shall have no effect on the duty of compliance with this code, nor the enforcement of provisions hereof. The Town Supervisor shall further cause a copy of this chapter to be kept posted conspicuously in each public building under the jurisdiction of the town. Failure to so post this chapter shall have no effect on the duty or compliance herewith, nor the enforcement of the provisions hereof.

## **§ 22-11. Filing with State Comptroller.**

Within thirty (30) days of the adoption of this chapter the Town Clerk shall file a copy thereof in the office of the State Comptroller.

## **§ 22-12. Appropriation of funds by and for Board of Ethics.**

The Town Board may appropriate moneys from the general funds for the maintenance of and for personnel services to the Board of Ethics established hereunder, but such Board of Ethics may not commit the expenditure of town moneys, except within the appropriations provided herein.